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FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use several sheets if necessary)</small>		ATTY DOCKET NO: S63.2-9397		Serial No: 09/666,866
		APPLICANT: Brown et al.		
		FILING DATE: September 20, 2000		Group: 3738

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	O I P E	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PPP	AA 5,922,021	7/13/99	Jang	PATENT & TRADEMARK OFFICE JAN 8 2 2001	623	1	
PPP	AB 5,954,743	9/21/99	Jang		606	198	
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FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICA TION DATE	COUNTRY CODE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
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	BD							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	CC						TC 3700 MAIL ROOM	

EXAMINER Paul Prebilic DATE CONSIDERED 12-14-01

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)
 LIST OF PATENTS AND PUBLICATIONS
 FOR APPLICANT'S
 INFORMATION DISCLOSURE STATEMENT
 (Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-7076

SERIAL NO.: 09/122,431

APPLICANT: Brian J. Brown et al.

FILING DATE: July 24, 1998

GROUP: 3738

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Paul</i>	AA	5,071,407	12/10/91	Termin et al.			
	AB	5,089,005	2/18/92	Harada			
	AC	5,356,423	10/18/94	Tibon et al.			
	AD	5,554,181	9/10/96	Das			
	AE	5,630,829	5/20/97	Lauterjung			
	AF	5,707,386	1/13/98	Schnepf-Pesch et al.			
	AG	5,643,312	7/1/97	Fischell et al.			
	AH	5,653,727	8/5/97	Wiktor			
	AI						
	AJ						
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER *Paul Prebilic* DATE CONSIDERED *12-14-01*

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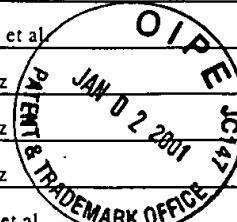
FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-7076		App. No: 09/122,431		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: July 24, 1998		Group:3738		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAM'S ENTT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILENG DATE IF APPROPRIATE
<i>PPP</i>	AA	5389106	2/14/95	Tower	606	198	10/29/93
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FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUBLIC ATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER	<i>Paul Prebilic</i>		DATE CONSIDERED	<i>12-14-01</i>			
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FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: S63.2-5605		Serial No: 08/511,076	
		APPLICANT: Brian J. Brown, Michael L. Davis			
		FILING DATE: August 3, 1995		Group: 3308	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
JPB	AA 4,553,545	11/19/1985	Maass et al.				
	AB 4,733,665	03/29/1988	Palmaz				
	AC 4,739,762	04/26/1988	Palmaz				
	AD 4,776,337	10/11/1988	Palmaz				
	AE 4,830,003	05/16/1989	Wolff et al.				
	AF 4,856,516	08/15/1989	Hillstead				
	AG 4,886,062	12/12/1989	Wiktor				
	AH 4,913,141	04/03/1990	Hillstead				
	AI 4,994,071	02/19/1991	MacGregor				
	AJ 5,019,090	05/28/1991	Pinchuk				
↓	AK 5,035,706	07/30/1991	Gianturco et al.				



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	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
PBP	BA 0 606 165 A1	07/13/1994	EPO			X	
	BB 0 540 290 A2	05/05/1993	EPO			X	
	BC 0 364 787 B1	04/25/1990	EPO			X	
	BD WO 94/17754	08/18/1994	PCT			X	
DR	BE 0 541 443 A1	05/12/1993	EPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

PBP	CA	Manufacturing Processes for Engineering Materials, by Serope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.
	CB	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, CIRCULATION, Vol. 79, No. 2, February 1989, pp. 445-457.
✓	CC	The Self-Expanding Mesh Stent, by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.

EXAMINER Paul Prebilic DATE CONSIDERED 12-14-01

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076
	APPLICANT: Brian J. Brown, Michael L. Davis	
	FILING DATE: August 3, 1995	Group: 3308

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAM 'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
Pbl	AA 5,059,211	10/22/1991	Stack et al.				
	AB 5,102,417	04/07/1992	Palmaz				
	AC 5,104,404	04/14/1992	Wolff				
	AD 5,133,732	07/28/1992	Wiktor				
	AE 5,135,536	08/04/1992	Hillstead				
	AF 5,195,984	03/23/1993	Schatz				
	AG 5,197,978	03/30/1993	Hess				
	AH 5,282,823	02/01/1994	Schwartz et al.				
	AI 5,292,331	03/08/1994	Boneau				
	AJ 5,304,200	04/19/1994	Spaulding				
↓	AK 5,449,373	09/12/1995	Pinchasik et al.				



FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
PPP	AL 6-4175	03/06/1994	Japan				
	AM						
	AN						
	AO						
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

PPP	AR	Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995.			
	AS	ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421			
↓	AT	Cambridge Dictionary of Science and Technology, Cambridge University Press p. 128			

EXAMINER	Paul Prebilic	DATE CONSIDERED	12-14-01
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			FILING DATE: August 3, 1995			Group: 3308		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAM 'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	O I P E JAN 02 2001 PATENT & TRADEMARK OFFICE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RPV	AA	3,657,744	04/25/1972	Ersek				
	AB	4,141,364	02/27/1979	Schultze				
	AC	4,681,110	07/21/1987	Wiktor				
	AD	4,693,721	09/15/1987	Ducheyne				
	AE	4,786,507	09/06/1988	Fischell et al.				
	AF	4,769,029	09/06/1988	Patel				
	AG	4,787,899	11/29/1988	Lazarus				
	AH	4,830,003	05/16/1989	Wolff et al.				
	AI	4,856,516	08/15,1989	Hillstead				
	AJ	4,922,905	05/08/1990	Strecker				
	AK	4,950,227	08/21/1990	Savin et al.				
	AL	4,950,258	08/21/1990	Kawai et al.				
	AM	4,994,071	02/19/1991	MacGregor				
	AN	5,015,253	05/14/1991	MacGregor				
	AO	5,037,392	08/06/1991	Hillstead				
	AP	5,064,435	11/12/1991	Porter				
	AQ	5,092,877	03/03/1992	Pinchuk				
	AR	5,104,399	04/14/1992	Lazarus				
	AS	5,108,415	04/28/1992	Pinchuk et al.				
	AT	5,108,417	04/28/1992	Sawyer				
	AU	5,122,154	06/16/1992	Rhodes				
	AV	5,139,480	08/18/1992	Hickle et al.				
	AW	5,147,385	09/15/1992	Beck et al.				
	AX	5,147,400	09/15/1992	Kaplan et al.				
	AY	5,158,548	10/27/1992	Lau et al.				
EXAMINER Paul Prebilic			DATE CONSIDERED 12-14-01					
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APPLICANT: Brian J. Brown, Michael L. Davis

FILING DATE: August 3, 1995

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REFERENCE DESIGNATION

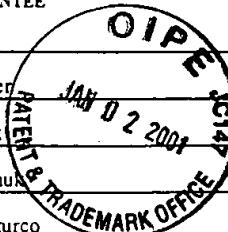
U.S. PATENT DOCUMENTS

EXAM 'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PPB	AA	5,217,483	06/08/1993	Tower			
	AB	5,163,952	11/17/1992	Froix			
	AC	5,226,913	07/13/1993	Pinchuk			
	AD	5,282,824	02/01/1994	Gianturco			
	AE	5,344,425	09/06/1994	Sawyer			
	AF	5,354,308	10/11/1994	Simon et al.			
	AG	5,354,309	10/11/1994	Schnepf-Pesch et al.			
	AH	5,383,892	01/24/1995	Cardon et al.			
	AI	5,405,377	04/11/1995	Cragg			
	AJ	2,836,181	05/27/1958	J.S. Tapp			
	AK	3,509,883	05/05/1970	Dibelius			
	AL	3,526,228	09/01/1970	Lyng			
	AM	4,300,244	11/17/1981	Bokros			
	AN	4,319,363	03/16/1982	Ketharanathan			
	AO	4,441,215	04/10/1984	Kaster			
	AP	4,470,407	09/11/1984	Hussein			
	AQ	4,550,447	11/05/1985	Seiler, Jr. et al.			
	AR	4,740,207	04/26/1988	Kreamer			
	AS	4,760,849	08/02/1988	Kropf			
	AT	4,762,128	08/09/1988	Rosenbluth			
	AU	4,771,773	09/20/1988	Kropf			
	AV	4,795,458	01/03/1989	Regan			
	AW	4,820,298	04/11/1989	Leveen et al.			
	AX	4,877,030	10/31/1989	Beck et al.			
	AY	3,868,956	04/04/1975	Alfidi et al.			

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REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAM 'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
PP	AA 4,140,126	02/20/1979	Choudhury					
	AB 4,503,569	03/12/1985	Dotter					
	AC 4,512,338	04/23/1985	Balko et al.					
	AD 4,553,545	11/19/1985	Maass et al.					
	AE 4,560,374	12/24/1985	Hammerslag					
	AF 4,647,416	03/03/1987	Seiler, Jr. et al.					
	AG 4,649,922	03/17/1987	Wiktor					
	AH 4,655,771	04/07/1987	Wallsten					
	AI 4,665,918	05/19/1987	Garza et al.					
	AJ 4,795,458	01/03/1989	Regan					
	AK 4,800,882	01/31/1989	Gianturco					
	AL 4,848,343	07/18/1989	Wallsten et al.					
	AM 4,872,874	10/10/1989	Taheri					
	AN 4,878,906	11/07/1989	Lindemann et al.					
	AO 3,105,492	10/01/1963	Jeckel					
	AP 3,272,204	09/13/1966	Artandi et al.					
	AQ 3,490,975	01/20/1970	Lightwood et al.					
	AR 3,562,820	02/16/1971	Braun					
	AS 3,635,215	01/18/1972	Shea et al.					
	AT 3,771,526	11/13/1973	Rudle					
	AU 3,993,078	11/23/1976	Bergentz et al.					
	AV 4,078,167	03/07/1978	Banas et al.					
	AW 4,127,761	11/28/1978	Pauley et al.					
	AX 4,130,904	12/26/1978	Whalen					
✓	AY 4,164,045	09/14/1979	Bokros et al.					

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ABP	AA 4,214,587	07/29/1980	Sakura, Jr.					
	AB 4,313,231	02/02/1982	Koyama	JAN 02 2001	JCH47			
	AC 4,425,908	01/17/1984	Simon					
	AD 4,501,264	02/26/1985	Rockey					
	AE 4,535,770	08/20/1985	Lemole					
	AF 4,580,568	04/08/1986	Gianturco					
	AG 4,597,389	07/01/1986	Ibrahim et al.					
	AH 4,655,776	04/07/1987	Lesinski					
	AI 4,795,458	01/03/1989	Regan					
	AJ 4,795,465	01/03/1989	Marten					
	AK 4,842,575	06/27/1989	Hoffman, Jr. et al.					
↓	AL 4,851,009	07/25/1989	Pinchuk					
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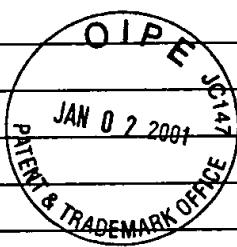
AB	CA	Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.
	CB	Self-expanding Stainless Steel Biliary Stents ¹ , By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983.
	CC	Technical Note Entitled <u>Modifications of Gianturco Expandable Wire Stents</u> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.
	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™.
	CE	<u>Expandable Biliary Endoprosthesis: An Experimental Study</u> , By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282.
	CF	<u>Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial</u> , By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.
	CG	<u>Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications</u> , Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.
	CH	Brochure Entitled <u>AVE MICRO STENT™</u> , Instructions for Use, By Applied Fascular Engineering, Inc., pp. 1-15.
	CI	Brochure Entitled <u>MICRO STENT™</u> , By Applied Vascular Engineering, Inc.
	CJ	
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Page 8 of 8



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Notice of References Cited

Application No.
09/122,431

Applicant(s)

Brown et al

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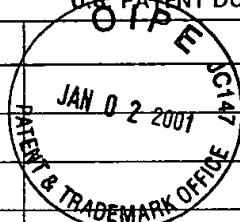
Paul Prebilic

Group Art Unit

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Page 1 of 1

U.S. PATENT DOCUMENTS



	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,972,018	10/1999	Israel et al	623	1.15
B	5,954,743	9/1999	Jang	623	1.15
C	5,935,161	8/1999	Robinson et al	606	195
D	5,800,521	9/1998	Orth	606	195
E	5,725,572	3/1998	Lam et al	623	1.16
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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Paul Prebilic 12-14-01